



Date Received

FUND APPLICATION FORM (INDIVIDUAL)

AmanahRaya Investment Management Sdn Bhd (309646-H)
Level 7&8, Wisma AmanahRaya, 2, Jalan Ampang, 50508 Kuala Lumpur
Tel (603) 2687 5200 Fax (603) 2687 5300

Account No:

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Please read the latest Prospectus / Information Memorandum and its Supplementary Prospectus or Information Memorandum (if any) and Terms and Conditions as specified overleaf before completing this form.

Please complete this form in BLOCK letters and tick (√) where applicable. Any alteration must be countersigned.

New Account ARB Group Staff Fund Account No. (if already have an account): _____

A. PARTICULARS OF INDIVIDUAL APPLICANT (Principal Holder)

NRIC (New) - - EPF No

Other ID Type Old IC Army ID Birth Certificate Passport Police ID

Name (as per NRIC)

Salutation /Name Title Gender Male Female

Residential Address

Postcode

Mailing Address (If different from residential address)

Postcode

Town State

Country Date of Birth (dd-mm-yyyy) - -

Nationality Religion

Education Race Malay Chinese India Others

Telephone No. (Home) - H/P - Email: _____

B. EMPLOYMENT PARTICULAR OF PRINCIPAL HOLDER

Name of Employer / Name of Company

Company Address

Occupation / Designation

Telephone No. - Fax No. -

Sector Accounting / Finance Educational / Training General Administration / Supervisory Professional
 Consulting Engineering Government / Military Research
 Customer Service Executive / S. Mgmt. Manufacturing / Operations Student
 Unemployed Self-Employed : _____ (Nature of Business) Others : _____

Annual Salary Less Than 30,000 30,001 – 60,001 60,001 – 100,000 No Income
 100,001 – 150,000 150,001 – 200,000 Above 200,001

Are you a US permanent resident? Yes No Do you have a US Taxpayer Identification Number (TIN)? Yes No

C. PARTICULARS OF JOINT HOLDER (IF ANY) * Please provide a photocopy of NRIC/Passport /Birth Certificate

NRIC (New) - - NRIC (Old)/Passport

Name

Relation to Principal Holder Date of Birth (dd-mm-yyyy) - -

Gender Male Female Occupation

Tel No (Home/HP) - E-mail : _____



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D. EMPLOYMENT PARTICULAR OF JOINT HOLDER

Name of Employer / Name of Company _____

Company Address _____

Occupation / Designation _____

Telephone No. _____ **Fax No.** _____

Sector

Accounting / Finance Educational / Training General Administration / Supervisory Professional

Consulting Engineering Government / Military Research

Customer Service Executive / S. Mgmt. Manufacturing / Operations Student

Unemployed Self-Employed : _____ (Nature of Business) Others : _____

Annual Salary

Less Than 30,000 30,001 – 60,001 60,001 – 100,000 No Income

100,001 – 150,000 150,001 – 200,000 Above 200,001

Are you a US permanent resident? Yes No Do you have a US Taxpayer Identification Number (TIN)? Yes No

E. INVESTMENT INSTRUCTION

Authority to Operate Account Principal holder to sign Both to sign Either one to sign

Income Distribution Reinvest Payout

F. INVESTMENT DETAILS		Mode of Payment
FUND	INVESTMENT AMOUNT (RM)	<input type="checkbox"/> Cash <input type="checkbox"/> Debit a/c <input type="checkbox"/> Cheque Bank/No _____
_____	_____	Standing Instruction
_____	_____	
_____	_____	
_____	_____	
		<input type="checkbox"/> MBB A/C No _____

G. GENERAL DECLARATION AND SIGNATURE(S)

I/We have read and understood the prospectus/information memorandum and supplementary prospectus (es)/information memorandum (if any) for the Fund(s) to be invested in and agree to be bound by the terms and conditions set out overleaf for every transaction with AmanahRaya Investment Management Sdn Bhd in respect of the account applied for hereunder. I/We acknowledge that I/we have received a copy of the Unit Trust Loan Financing Risk Disclosure Statement as attached with this application form and understand its contents. I/We acknowledge and accept that AmanahRaya Investment Management Sdn Bhd has absolute discretion to rely on this confirmation from me/us and I/we undertake to indemnify and hold harmless AmanahRaya Investment Management Sdn Bhd, its employees and agents against all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation.

I/We acknowledge that the Manager, its directors and/or employees may periodically hold interest in the securities, related securities or sectors mentioned herein. In the unlikely event that the Manager faces conflicts in respect of its duties to the Manager investment funds that it manages, the Manager is obliged to act in the best interest of all its investors and will seek to resolve any conflict fairly. The Manager has in place a policy on mitigating and managing conflicts of interest contained in its Compliance Policy Manual, which regulates its employees' securities dealings. An annual declaration of securities trading is required of all employees to ensure that there is no potential conflict of interest between the employees' securities trading and the execution of the employees' duties to the Manager and customers of the Manager.

I/We acknowledge that all investments involve some degree of risks and I/ We should be aware of the risks associated with Funds. I/ We further acknowledge that I should consult my professional adviser(s) for a better understanding of the risks.

I/ We understand and aware on the fees and charges that will incur directly or indirectly when investing with the Manager.

I/ We understand on the statement made by the Manager on conflict of interest, risks associated with the investment, fees and charges and accept that the Manager has absolute discretion to rely on this confirmation from me and I undertake to indemnify and hold harmless the Manager, its employees against all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation.

The creation of NAV is only be made upon received the completed form from clients.

 Signature of Principal Holder Signature of Joint Applicant (if applicable) Date



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AMANAH RAYA INVESTMENT MANAGEMENT (ARIM) OFFICE / DISTRIBUTORS USE ONLY

Agent Name Agent Code

Agent Signature Branch Stamp Branch Code Date of Payment - -

For HEAD OFFICE / BRANCH USE

Received by/Date/Time Verified by Compliance/Date Entered by/Date Checked by/Date Approved by/Date
 (ESM / SESM) (HOC) (EOF / SEOF) (AMOF / MOF) (MOF / COO / CEO)

UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a unit trust scheme with borrowed money is more risky than investing with your own savings.
 You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following -

- The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money that you put in as deposit or down payment) the greater the potential for losses as well as gains.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral or pay additional amounts on top of your normal installments. If you fail to comply within the time prescribed, your units may be sold to settle your loan.
- Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced instead. Whether you eventually realize a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

The brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take the loan. If you are in doubt in respect of any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

ACKNOWLEDGEMENT OF RECEIPT OF RISK DISCLOSURE STATEMENT

I/we acknowledge that I/we have read this Unit Trust Loan Financing Risk Disclosure and understand its contents.

.....
Signature of Principal Holder
 Full Name :
 Date :

.....
Signature of Joint Holder
 Full Name :
 Date :

TERMS AND CONDITIONS

CLIENT IDENTIFICATION

In compliance with the Guidelines on Prevention of Money Laundering and Terrorism Financing for Capital Market Intermediaries are issued pursuant to section 158 of the Securities Commission Act 1993, applicants are required to submit the following:

(Investment in Retail Funds)

Agents Check	Item
	Application Form
	Investor Suitability Assessment Form
	Consent and Privacy Notice (PDPA form)
	KYC Form
	Common Reporting Standard and Foreign Account Tax Compliance Form (CRS – FATCA Form)
	Specimen signature(s) of the authorised signatory(ies)

(Additional Documents Required For Investment)

Agents Check	Category Of Investor	Item
	Individual	Photocopy of NRIC or Passport
	Society/ Association	Cert. of Registration & Committee Resolution to approve investment
	Company (Malaysian)	Form 9, Form 49, Board Resolution to approve investment & Memorandum of Association
	Company (Foreign)	Form 13, Form 49, Board Resolution to approve investment & Memorandum of Association
	Government Link Company	Minutes of Board Meeting to approve investment
	Cooperative	Cert. Of Registration & Committee Resolution to approve investment
	Pension Fund	Trustee's Resolution to approve investment
	Partnership	Cert. Of Registration & Committee Resolution to approve investment
	Sole-Proprietor	Business Registration

Noted: Agent to confirm that the above documents are submitted.



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PAYMENT TO THE MANAGER (VIA CASH DEPOSIT MACHINE)

Payment can be made through CDM to the following account number, after key in the account number, please ensure the “AMANAH RAYA INVESTMENT MANAGEMENT SDN BHD” name is appear on the screen before executing the transaction.

For Conventional

Account Holder	AmanahRaya Investment Management Sdn Bhd - A/C 1
Bank	Malayan Banking Berhad
Account Number	514598-221822

For Shariah

Account Holder	AmanahRaya Investment Management Sdn Bhd - A/C 2
Bank	Malayan Banking Berhad
Account Number	564593-221837

APPLICATION & ACCEPTANCE

1. Application can be made through AmanahRaya Investment Management Sdn Bhd, Collecting Points or authorised agents (UTC) after completing this form and attaching a copy of NRIC / Passport or other acceptable identification, where applicable.
2. For application received or deemed to have been received by the Manager before **4.00p.m (Retail Fund)** and **10.30 a.m (Wholesale Fund)** on any Business Day, units will be issued at selling price of the relevant Fund calculated at the end of the Business day (forward pricing) on which the applications were received (Please refer to the Prospectus / Information Memorandum on forward pricing).

TRANSACTIONS STATEMENT / REPORT

1. A Transaction Statement will be issued to the unit holder as a record of each and every payment or transaction made.
2. Interim and Annual Transaction Statement will be issued to the unit holder on every half yearly and financial year end of the Fund.

AUTHORITY TO OPERATE ACCOUNT

1. The authorised signatory(ies) will be the only person recognised by the Manager as having the authority to effect transactions on repurchase, transfer, switching and any other request or carry out any instructions in the operations of the account.

COOLING-OFF PERIOD

1. Only applicable to any person investing for the first time in any unit trust fund purchase from the Manager and excludes corporations / institutions, staff of the Manager, Amanah Raya Berhad and its group of companies and persons registered to deal in unit trust fund.
2. Unit holder has the right, within six (6) business days of the receipt by the Manager of their application for units, to call for a withdrawal of their investment. A full refund of the money initially invested will be refunded to unit holder (upon bank clearance of payment) within 10 calendar days of receipt of the application for cooling-off by the Manager.

RIGHTS OF MANAGER

1. The Manager reserves the right to accept or reject any application in whole or part thereof without assigning any reasons.
2. The Manager shall refund the payment to the unsuccessful application within fourteen (14) business days from date of rejection.

RIGHTS TO REPURCHASE

1. The Manager has the right to repurchase all units of a unit holder in the event such repurchase is necessary to ensure that the Manager is in compliance with relevant laws.
2. The Manager shall provide prior notification to the unit holders of such repurchase.

DISPUTE RESOLUTION

If you are dissatisfied with the outcome of the internal dispute resolution process, you may refer your dispute to Securities Industry Dispute Resolution Center (SIDREC) :-

- (a) via phone to : 03 - 6204 8999
- (b) via fax to : 03 - 6204 8991
- (c) via email to : aduan@seccom.com.my
- (d) via letter to : Consumer & Investor Office
Securities Commission Malaysia
No 3 Persiaran Bukit Kiara
Bukit Kiara
50490 Kuala Lumpur