

KNOW YOUR CLIENT FORM ("KYC")

For Office Used ONLY

(i) Staff is required to fill in this form before proceeding with the Application Form (Unit Trust Department) or Account Opening Form (Fund Management Department)
(ii) This is to be attached together with the Application Form (Unit Trust Department) or Account Opening Form (Fund Management Department)

Please tick (/) on the box

A. PROFILE OF INDIVIDUAL / CORPORATE / ORGANIZATION / INSTITUTION

Client's Individual Name /

Corporate/Organization/Institution

New Identification Card (IC)

ID No. Police / Army

Registration No.

Corporate/Organization/Institution

Date of Registration Corporate/Organization/Institution

Address Individual /

Corporate/Organization/Institution

Postcode

City

State

Country

Telephone No.

Fax No.

Handphone No.

Website

E-mail Address

B. TYPE OF PROFESSION / BUSINESS

Individual Client :

Profession :

Private Sector

Professional

Housewife

Public Sector

Please verify :

Please verify :

Please verify :

Student

Retiree

Others

Self-Owned Business

Please Verify :

Please verify :

Corporate / Organization / Institution :

Profession :

Limited

Government Link Companies

Government Owned Companies

Licensed Financial Institution

Statutory Body

Cooperative

Organization / Club

Private Limited

Others : _____

C. INCOME AND SOURCE OF INCOME FOR INDIVIDUAL / CORPORATE / ORGANIZATION / INSTITUTION

Annual Gross Income :

Client Individual :

RM

Client Corporate / Organization / Institution :

RM

Estimated Investment :

RM

Source of Income :

Reason for Investment :

D. ENHANCED DUE DILIGENCE

No.	Items	Yes	No	Other Statement
1	Investor related to PEPS			Please specify :
2	Investor is a foreigner			Please specify country :
3	Investment amount does not justify the investor employment and income received			If self-employed, please justify the type of business :
4	Investor originated from high risk country according to INCSR/FATF/TI/UN			Please specify country :
5	Investor is a foreigner and self-employed and does not have a steady income			If self-employed, please justify the type of business :
6	Investor is categorised as high networth client (the starting investing amount is RM 150k)			
7	Investor has been listed as wanted individual by Bank Negara / United Nation			Please specify :
8	Account Opening with cash more than RM 50k			Please specify the amount :

E. CLIENT PROFILE

Low Risk Client Approval at Compliance level

High Risk Client Approval at MD / CEO level after verification made by Compliance Officer

F. COMMENT BY MARKETING DEPARTMENT

Name of Marketing Officer : _____

Signature : _____

Date : _____

Officer Seal : _____

Comment by Marketing Officer : _____

G. VERIFICATION BY COMPLIANCE OFFICER

Low Risk Client		High Risk Client	
<input type="checkbox"/>	Approve	<input type="checkbox"/>	Approve
<input type="checkbox"/>	Not Approve	<input type="checkbox"/>	Not Approve

Signature : _____

Date : _____

Compliance Officer Seal : _____

Comment by Compliance Officer : _____

J. APPROVAL FROM MD / CEO

Approve / Not Approve

Signature : _____

Date : _____

MD / CEO Seal : _____

Comment by MD / CEO : _____

Notes :

1	PEPS	Political Exposed Persons (Local / Foreigner who hold a high post in the politics and government
2	INCSR	International Narcotics Control Strategic Report
3	FATF	Financial Action Task Force on Money Laundering is a body who categorised a company's risk profile
4	TI	Transparency International Corruption Preception Index
5	UN	United Nation sanction List